

Application for Accreditation of Prior Learning

For office use only

Date received:	Ackn. date/M	RM:	Membership number:
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

1 Section 1 – Personal details

Title: Mr Mrs Ms Miss Dr Prof Other	
Family name:	Home address:
First names:	
Date of birth:	Town:
Email:	County/State:
Mobile:	Postcode:
Telephone:	Country:

2 Section 2 – Business details

Employer name:	Home address:
Job title:	
Email:	Town:
Telephone:	County/State:
	Postcode:
	Country:

3 Section 3 – Mailing information

Please tick (/) Home Business

Preferred postal correspondence address: _____

Preferred email correspondence address: _____

4 Section 4 – Diploma modules

I wish to apply for the following modules:

- Module 1: Principles of Risk and Risk Management in Enterprise Risk Management
- Module 2: Practice of Risk Management in Enterprise Risk Management
- Module 3: Risk Management: Assessment and Control
- Module 4: Risk Management: Organisational Oversight

Module 5 (Risk Management: Resilience, Perception and Society) and Module 6 (Risk Management: Project) will be available from September 2021.

5 Section 5 – Fees payable

Fees	Standard rate
Fees per module:	£250

6 Section 6 – Payment method

Please select (a), (b), (c) or (d)

(a) Bank transfer

Bank transfer - Please ensure that your name is quoted as a reference

IRM Bank transfer details

Bank name: Lloyds TSB

Sort code: 30-93-23

Account number: 00748112

Account holder's name: Institute of Risk Management

Branch: Fenchurch Street Branch

IBAN no: GB46LOYD30932300748112

Swift code: LOYDGB21009

(b) Cheque

Cheque attached

(c) Credit card

For details of how to pay by credit card please telephone us on +44 (0) 20 7709 9808.
You are strongly advised not to send credit card information by email.

7 Section 7 – Declaration

I have completed the relevant parts of the form for each of the Modules for which I am applying for accreditation. I attach a copy of my CV which confirms that I have 5 years' risk management experience. I also attach scanned copies of the evidence I am using to support my experience.

I confirm that each section of the application form has been verified and counter-signed by an appropriate individual who is able to confirm it.

Signed

Date

When you have completed your application form please email it to: apl@theirm.org

Institute of Risk Management
2nd Floor, Sackville House
143-149 Fenchurch Street London,
EC3N 6BN

T +44 (0)20 7709 9808

F +44 (0)20 7709 0716

W www.theirm.org

We look forward to receiving your application form.

Click to go direct to the start section

Module 1: Principles of Risk and Risk Management

Module 3: Risk Management: Assessment and Control

Module 2: Practice of Risk and Risk Management

Module 4: Risk Management: Organisational Oversight

Application for Accreditation of Prior Learning

International Diploma in Risk Management

Module 1: Principles of Risk and Risk Management in Enterprise Risk Management

Module aims:

The aim of this module is to provide an introduction to the principles and concepts of risk and risk management. The history of risk management is explored as a means of understanding the current drivers of enterprise risk management, and the development and impact of international standards. This leads to an examination of the ways in which risks are classified and the models or frameworks that are utilised to identify, assess and treat them.

Learning objectives:

By the end of the module students should be able to:

- > Recognise the origins and key concepts relating to risk management
- > Compare and contrast the main risk management standards
- > Outline the concepts of enterprise risk management
- > Explain the main approaches to risk identification
- > Describe the main approaches to the analysis and evaluation of risk
- > Distinguish the main features of risk control techniques

Knowledge area M1: Unit 1 - Concepts and definitions of risk and risk management

Please demonstrate that on more than one occasion you have:

- > Provided a range of definitions of risk and risk management
- > Analysed how risks impact on organisations, for example by way of the attachment of risks theory
- > Described options for classifying risks according to the nature, source and timescale of impact
- > Outlined the history of risk management, including the various specialist areas and approaches
- > Considered the principles and aims of risk management and risk management's importance to operations, projects and strategy

Sources of evidence – What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)

Explain how the evidence satisfies the knowledge and understanding requirements. How does the evidence cited demonstrate that you have the required knowledge and understanding? (Maximum – 500 words)

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Knowledge area M1: Unit 2 - Risk management standards

Please demonstrate that on more than one occasion you have:

- > Described the key stages in the risk management process, the main components of a risk management framework and the key features of the best known risk management standards and frameworks currently in use
- > Compared and contrasted a number of risk management standards

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M1: Unit 3 - Enterprise risk management

Please demonstrate that on more than one occasion you have:

- > Outlined the key characteristics of the COSO ERM framework
- > Explained the key features of an enterprise-wide approach to managing risk to a board or management committee
- > Identified the four stages of the ERM implementation process and how they apply to the organisation / division
- > Discussed the various approaches to establishing the context for ERM either in report format or via a training seminar
- > Discussed approaches to setting objectives

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M1: Unit 4 - Risk assessment 1: introduction and identification

Please demonstrate that on more than one occasion you have:

- > Described the critical importance of risk assessment, outlining the range of techniques that are available and the advantages and disadvantages of each one to an organisation / division
- > Explained to an organisation or division the life cycle of risk, including causes, the risk event itself and the consequences, along with some of the tools for identifying and managing causes and consequences
- > Described the importance of risk identification, including the key features of the best established risk classification
- > systems to an organisation or division

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M1: Unit 5 - Risk assessment 2: risk analysis and evaluation

Please demonstrate that on more than one occasion you have:

- > Described the concept and purpose of risk analysis within the risk management process
- > Considered the two dimensions of likelihood and impact, using a quantitative and qualitative approach to analysing risks
- > Explained the importance of risk appetite as a planning tool in the implementation of a risk management initiative and its interface with operations, projects and strategy
- > Described the main components of loss control as loss prevention, damage limitation and cost containment, providing practical examples
- > Outlined the alternative approaches to defining the upside of risk and the application of these approaches to strategy, projects and operations

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M1: Unit 6 - Risk responses and risk treatment

Please demonstrate that on more than one occasion you have:

- > Explained the meanings and purposes of risk response
- > Described the risk response options in terms of tolerate, treat, transfer and terminate
- > Described the types of controls that are available, in terms of preventive, corrective, directive and detective (PCDD) controls
- > Explained how to determine whether controls are cost-effective, looking at selected hazard risks, including risks to finances, infrastructure, reputation and marketplace
- > Applied the activity of monitoring and reviewing the risk management process, learning from controls
- > Described the importance of insurance and the circumstances in which insurance is purchased, including the involvement of a captive insurance company
- > Built a simple business continuity plan using the latest techniques

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Application for Accreditation of Prior Learning International Diploma in Risk Management

Module 2: Practice of Risk Management in Enterprise Risk Management

Module aims:

The aim of this module is to explore the impact of the business environment on organisational risk. The module examines issues relevant to specific sectors and geographical areas. In addition, the needs and demands of various stakeholder groups, including regulatory authorities, are considered. This leads to the study of corporate governance and risk assurance and how this might influence the architecture of an organisation's risk management approach. The significance of risk culture, appetite and tolerance of risk in relation to current practices in risk management is given special consideration.

Learning objectives:

- > Discuss the impact of the wider business environment on organisations and the issues this raises for risk management in specific sectors and geographical areas
- > Illustrate the key features of a risk management framework
- > Explain the significance of issues of culture, appetite and tolerance in relation to the management of risk
- > Explain the key features of corporate governance models, project risk management systems and operational risk management
- > Discuss the nature and purpose of internal control, audit and risk assurance techniques
- > Analyse real life case studies and identify key risk management lessons

Knowledge area M2: Unit 1 - The global business environment

Please demonstrate that on more than one occasion you have:

- > Discussed the impact of the wider business environment on organisations and the issues this raises for risk management
- > in specific sectors and geographical areas
- > Explained how organisational vision and values contribute to the risk management approach selected
- > Appraised risk management's contribution to business success and value added
- > Analysed how sector-specific and geographical issues influence risk issues

Sources of evidence – What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)

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Knowledge area M2: Unit 2 - Risk strategy and framework

Please demonstrate that on more than one occasion you have:

- > Explained how the risk architecture, strategy and protocols contribute to effective risk management
- > Explained the value of comprehensive and effective risk documentation and the assignment of risk management responsibilities

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M2: Unit 3 - Risk culture, appetite and tolerance

Please demonstrate that on more than one occasion you have:

- > Analysed organisational behaviour and culture
- > Analysed risk appetite and tolerance
- > Assessed the role of risk training and communication
- > Discussed required risk practitioner competencies

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M2: Unit 4 - Risk and organisations

Please demonstrate that on more than one occasion you have:

- > Assessed the key features of corporate governance models
- > Explained the relevance of understanding stakeholders' roles in risk management
- > Explained project risk management systems and operational risk management

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M2: Unit 5 - Risk assurance and reporting

Please demonstrate that on more than one occasion you have:

- > Explained the importance of the control environment in regard to risk management
- > Analysed the role and value of the internal audit function and risk assurance
- > Explained the key elements of risk assurance techniques
- > Analysed the benefits of effective risk reporting
- > Explained how risk influences corporate reputation

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M2: Unit 6 - Case studies in organisational risk management

Please demonstrate that on more than one occasion you have:

- > Discussed the lessons that can be learned from examining case studies in risk management
- > Given examples of risks that have emerged in recent years

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Module 3: Risk Management: Assessment and control

Module aims:

The intention of this third diploma module is to provide a brief revision on the issues studied in the Certificate in Risk Management Module 1 and 2 and then to study in detail the areas of risk assessment and risk control. Risk assessment consists of three parts. Firstly there is the risk identification stage, then the risk analysis stage and lastly the risk evaluation stage.

- > The risk identification stage is where those responsible for identifying risk will attempt to identify as many of the potential risks to the organisation as is economically possible. This will include speculative risk which has opportunity attached to them.
- > The analysis stage is where an attempt is made to put some realistic measurement on the risks identified in respect of how 'big' the risks are to the organisation. This measurement is usually done in respect of the likelihood of the risk manifesting, the size of the potential impact and, sometimes, on the speed at which the risk might progress. It will also include analysing where the opportunities are and how these might be dealt with.
- > The evaluation stage is when the organisation examines the measured risks against the organisational objectives and risk appetite. It then decides where to put each in order of priority to be dealt with. There are many considerations that need to be taken into account at this stage which will include financing available.

This leads into the risk control stage where decisions are made as to how to deal with the risks identified and measured. This is usually done in respect of ensuring that threats are minimised as far as is reasonably possible (economically) to avoid impacting negatively on the objectives of the organisation. It is also possible to exploit the speculative risks to improve the potential of the achievement of objectives

Learning objectives:

- > Knowledgeably discuss the concepts of risk and risk management including risk complexity
- > describe and explain each component of risk identification, explaining the techniques available and how and where to apply them, including demonstrating a knowledge of organisational contexts, information sources and facilitation techniques
- > evaluate different risk analysis techniques and demonstrate a knowledgeable understanding of the differences between qualitative and quantitative risk analysis and how and when these should be used
- > explain and discuss the concepts of likelihood, probability, impact and risk evaluation
- > explain and discuss each part of risk control, where and in what circumstances each control type and option should be used, and who should be involved
- > explain risk control decision-making, resourcing, testing and monitoring
- > demonstrate an understanding of risk management in respect of projects

Knowledge area M3: Unit A - Risk Management Overview

Please demonstrate that on more than one occasion you have:

- > Explained and knowledgeably discussed the various aspects of risk management including its development, processes, standards (e.g. COSO and ISO 31000), definitions, classifications and categories
- > Explained and justified a variety of approaches to risk management
- > Demonstrated an understanding of how risks manifest and change

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M3: Unit B - Risk identification

Please demonstrate that on more than one occasion you have:

- > Discussed and explained the contexts (internal and external) in which organisations work
- > Explained and discussed the sources of risk information
- > Evaluated different risk identification methods and techniques
- > Discussed from a position of knowledge the best types of risk identification methods and techniques to be used in different circumstances
- > Demonstrated an understanding of facilitation of risk identification
- > Discussed risk complexity including human behaviour, early warnings and learning from the past

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M3: Unit C - Qualitative analysis

Please demonstrate that on more than one occasion you have:

- > Evaluated different qualitative risk analysis methods and techniques
- > Discussed from a position of knowledge the best types of qualitative risk analysis techniques to be used under different circumstance
- > Explained the concepts of likelihood, impact and velocity of risk

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M3: Unit D - Quantitative Analysis

Please demonstrate that on more than one occasion you have:

- > Evaluated different quantitative risk analysis methods and techniques
- > Explained probability and risk modelling
- > Discussed from a position of knowledge the best types of quantitative risk analysis required for specific circumstances
- > Demonstrated an understanding of the place and importance of risk evaluation in the total process of risk management

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M3: Unit E - Risk Control (Part 1)

Please demonstrate that on more than one occasion you have:

- > Understood, discussed and evaluated different risk control methods and techniques
- > Justified, from a position of knowledge, the best types of risk control required for specific circumstances
- > Described the part of risk financing in controlling risk.
- > Demonstrated an understanding of the place and importance of risk evaluation in the total process of risk management

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M3: Unit F - Risk Control (Part 2)

Please demonstrate that on more than one occasion you have:

- > Demonstrated an understanding of the insurance market, types of insurance and justify insurance as a control mechanism
- > Justified when and each method or techniques of risk control should be used and who should be involved
- > Discussed monitoring, testing and resourcing of risk controls
- > Demonstrated an understanding of Project Risk Management

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Module 4: Risk Management: Organisational oversight

Module aims:

This module is designed to examine organisational oversight of risk management and the responsibilities of managing risk at the highest level in organisations. It will delve into the areas of corporate governance and decision making, organisational performance and oversight of risk management, organisational culture and ethics, strategy and leadership. The module also examines the issues of the process of decision making and the effect of corporate culture on organisations. It is designed so that the student will understand the internal issues in organisational risk management from the board and management level perspectives.

Learning objectives:

- > Explain and discuss corporate governance, organisational oversight, internal control, regulation and compliance and to evaluate the inter-relatedness of risk management in/on business decisions
- > Explain and discuss strategy and strategic planning and to justify managing risk in organisations at a strategic level including being able to evaluate the part of stakeholders, the need for leadership, and the roles of the board and committees
- > Explain and discuss the need to monitor, review and report on risk management including examination of information, data sources and communication strategies, and to evaluate roles and responsibilities in respect of monitoring and reporting including the need for re-evaluation of circumstances after an event
- > Critically evaluate the theory, science, art and techniques of decision-making especially in relation to risk
- > Discuss performance management, conflict management and evaluate the need for training of staff at all levels in risk issues
- > Explain and discuss culture, corporate risk culture, corporate social responsibility, ethics, reputation risk, conduct risk and risk drivers

Knowledge area M4: Unit A - Governance Issues

Please demonstrate that on more than one occasion you have:

- > Discussed knowledgeably the responsibilities of organisations in respect of corporate governance
- > Critically analysed the increasing regulatory requirements for corporate governance
- > Compared and contrast a compliance-based governance versus a culture-based governance
- > Demonstrated a knowledge and understanding of all topics covered in the unit including how their own organisation works

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M4: Unit B - Strategic Planning and Leadership

Please demonstrate that on more than one occasion you have:

- > Described and explained what is meant by strategic planning and leadership
- > Discussed how risk management assists in strategic planning
- > Demonstrated understanding of the types and skills of leadership
- > Discussed from a position of knowledge what it means to manage risk in organisations at a strategic level
- > Demonstrated an understanding of the various roles including the stakeholders place in strategic planning

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M4: Unit C - Risk Information and Reporting

Please demonstrate that on more than one occasion you have:

- > Explained the roles involved in reviewing and reporting of risk management
- > Demonstrated an understanding of communication techniques and data usage
- > Justified the need for re-evaluation of risks after an event
- > Demonstrated an understanding of some regulatory reporting requirements

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M4: Unit D - Decision Making

Please demonstrate that on more than one occasion you have:

- > Demonstrated an understanding of the need for good decision making, what that means and who is involved
- > Justified decision making techniques for particular circumstances
- > Explained the inter-relatedness of risk management and/on business decisions

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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Knowledge area M4: Unit E - Risk Management Performance and Training

Please demonstrate that on more than one occasion you have:

- > Demonstrated a knowledge and understanding of performance management including how it is measured and how performance can be improved.
- > Justified improvement factors for specific circumstances.
- > Justified risk management competencies and the need for training of staff
- > Explained conflict management and how it can arise in organisations

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Knowledge area M4: Unit F - Culture and Ethics

Please demonstrate that on more than one occasion you have:

- > Explained what is meant by culture, ethics and social responsibility
- > Demonstrated knowledge and understanding of how to diagnose and evaluate a risk culture and how to change it
- > Justified the need for sound ethical behaviour and response to social responsibility
- > Demonstrated knowledge and understanding of conduct and reputation risks and how these can affect an organisation

Sources of evidence – *What specific evidence sources can you produce that demonstrate you have the required knowledge and understanding? These must be listed with a brief description and must be reviewed by the person who is authenticating your application. (Maximum 750 words)*

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